

The main changes made to the Corporate Risk Register are listed below:

- i) Revision to DCC001, '*The risk of a serious safeguarding error where the council has responsibility*'. A control has been added, whereby the rate of completed CRB and reference checks is monitored via HR's service plan. There's a further mitigating action in terms of a proposal to develop a Corporate Safeguarding Committee.
- ii) Revision to DCC004: '*The risk that the HR framework doesn't support the organisation's aims*'. The description of the impact/consequence has been expanded, and a further action has been added (to review progress against the HR Improvement Plan at Corporate Governance in June). The inherent and residual risks scores remain identical at the moment. The residual risk will be reviewed in line with progress against the Improvement Plan.
- iii) Revision to DCC006: '*The risk that the economic and financial environment worsens beyond current expectations, leading to additional demand on services and reduced income*'. The residual risk score has increased from D2 to C2, given the Welsh Government's recent messages indicating a reduced settlement for 2014/15 (this had not been anticipated before). The actions we're taking are trying to reduce the impact. New mitigating actions refer to the introduction of financial planning meeting with services, and future integration of financial and service planning.
- iv) Revision to DCC007: '*The risk that critical or confidential information is lost or disclosed*'. Two new mitigating actions here refer to the use of secure printing, and a procedure for two people to check address details are correct prior to sending out sensitive correspondence.
- v) Revision to DCC011: '*The risk of a severe weather, contamination, or public health event*'. Mitigating actions updated as we move towards a regional collaboration for Emergency Planning. The council has completed a debrief and lessons learnt session following three recent incidents, and now needs to prepare an action plan. Implementation of this action plan should reduce the residual risk score.
- vi) Revision to DCC012: '*The risk of a significantly negative report(s) from external regulators*'. Likelihood now considered low, but a further mitigating action is in place to integrate the Annual governance statement and corporate self-assessment into a single annual self-assessment.
- vii) Revision to DCC013: '*The risk of significant financial and reputational liabilities resulting from management of some Arm's Length organisations*'. We've further articulated the impact, covering capital and property liabilities, and added a control in the form of resource being committed to support financial monitoring. Two new mitigating actions have also been added, referring to the consideration of alternatives to the Sun Centre, and the proposal for developing the Nova centre into a facility that complements other

offerings on the coastal strip.

- viii) Revision to DCC014: *'The risk of a health & safety incident resulting in serious injury or the loss of life'*. Some mitigating actions now complete. This hasn't impacted on the residual risk score because the likelihood (which is the area we can affect) was already scored very low at E2, but we'd hope that our actions have further reduced the likelihood in real terms.
- ix) Revision to DCC015: *'The risk that the collaborations that Denbighshire participates in don't deliver their forecast benefits and/or have an adverse impact in terms of finance and/or quality of service'*. This originally read: *The risk that the council cannot influence the collaboration agenda, and that further collaboration is forced upon on us rather than entered into voluntarily*. In light of the Review of Public Service Governance and Delivery (see DCC020), further collaboration is not currently under discussion. However, there are collaborations to which we are still committed, and this risk description has altered to reflect the risks associated with participation in collaboration.
- x) Revision to DCC016: *'The risk that the impact of welfare reforms is more significant than anticipated by the council'*. The Lead Officer has changed to Paul McGrady and the Lead Member to Cllr Hugh Irving. A further mitigating action was agreed: the working group is to report its progress to Corporate Governance.
- xi) Revision to DCC017: *'The risk that the ICT framework does not meet the organisation's needs, and that the technology we invest in does not deliver the intended benefits for the Modernisation programme'*. This originally read: *'The risk that ICT investment does not have the capability to deliver the efficiencies and savings required for the Modernisation priority'*. The description has evolved to echo that of the similar HR risk (DCC004), and emphasise ICT's role in supporting the organisation to recognise effective technological solutions.
- xii) Revision to DCC018: *'The risk that change/modernisation project benefits are not fully realised'*. This originally read: *'The risk that change/modernisation projects are not implemented as intended, hindering benefit realisation'*. The description has evolved to emphasise that the risk is around benefits realisation with projects, rather than the project management process itself. Additional controls that are in place include the use of Verto to track benefits, and the fact that there is a Change toolkit on the intranet.
- xiii) No amendments to DCC019: *'The risk that the availability of the Welsh Government's match-funding contribution towards Band A of the 21st Century Schools programme is not in line with the timescales for Denbighshire's work programme'*.
- xiv) Addition of DCC020: *'The risk that the review by The Commission On Public Service Governance And Delivery negatively affects Denbighshire'*. This review was announced by the First Minister in April 2013, and is due to

conclude by the end of 2013. It could fundamentally reconstruct the way public services are delivered, thus affecting DCC structures and processes. Currently considered to score C1 in terms of inherent risk, the residual risk is identical as no mitigating has yet taken place. An action has been identified (to exploit avenues of influence), but the arrangements for carrying out the action aren't yet in place.

- xv) Addition of DCC021: *'The risk that effective partnerships and interfaces between BCU Health Board and Denbighshire County Council (DCC) do not develop, leading to significant misalignment between the strategic and operational direction of BCU and DCC'*. Since the merger of the six health boards into one, DCC has noticed some disparity between strategic agreements and operations at ground level.